

09/559261

S&amp;H Form: PTO-35/65 (12/97)

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

122.1407

First Named Inventor or Application Identifier:

Shuji NAKAMURA et al.

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: **Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [ Total Pages: 25 ]
3. ☒ Drawing(s) (35 USC 113) ..... [ Total Sheets: 11 ]
4. ☒ Oath or Declaration ..... [ Total Pages: 3 ]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) [ ] Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449[X] Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Small Entity Statement(s) [ ] Statement filed in prior application, status still proper and desired.
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**[ ] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No: 1**18. CORRESPONDENCE ADDRESS**STAAS & HALSEY LLP  
Attn: H. J. Staas  
700 Eleventh Street, N.W., Suite 500  
Washington, DC 20001Telephone: (202) 434-1500  
Facsimile: (202) 434-1501jc713 U.S. PTO  
04/27/00

09559261.042700

# NEW APPLICATION FEE TRANSMITTAL

Attorney Docket No. 122.1407

Application Number

Filing Date

April 27, 2000

AMOUNT ENCLOSED

\$ 808.00

First Named Inventor

Shuji NAKAMURA et al.

## FEE CALCULATION (fees effective 10/01/97)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	14 - 20 =	0	X \$ 18.00 =	\$ 0.00
	INDEPENDENT CLAIMS	4 - 3 =	1	X \$ 78.00 =	78.00
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$240.00 =	0.00
	<b>BASIC FILING FEE</b>				690.00
	Total of above Calculations =				\$ 768.00
	Surcharge for late filing fee, Statement or Power of Attorney (\$130.00)				+ 0.00
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28).				- 0.00
	<b>TOTAL FILING FEE =</b>				\$ 768.00
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))				+ 0.00
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h)(1))				+ 40.00
	<b>TOTAL FEES DUE =</b>				\$ 808.00

## METHOD OF PAYMENT

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No., below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time.

## GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.

19-3935

Deposit Account Name

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- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

**SUBMITTED BY: STAAS & HALSEY LLP**

Typed Name

H. J. Staas

Reg. No.

22,010

Signature

Date

April 27, 2000